

Compliance Policy Statement



Statement from
Dr Carl Trowell
Group Chief Executive
Officer

- ▶ Acteon is committed to ensuring its legal compliance obligations in a proactive, ongoing and responsible manner.
- ▶ We are dedicated to not only identifying legislation we are obliged to comply with but raising the bar on levels of compliance in Acteon; measuring our success by elevating employee awareness to embed a positive compliance culture across the Group.
- ▶ Acteon is committed to dealing fairly with clients, competitors, suppliers, agents, employees and other parties that may be affected by our businesses.
- ▶ We are determined that no-one associated with our businesses should seek, offer or accept any inappropriate advantage or inducement. We must ensure that all our dealings are carried out in a fair and honest manner, wherever they take place in the world.
- ▶ Our Compliance Programme and handbook supports this; helping us to conduct business appropriately – in an honest, safe, professional and ethical manner.
- ▶ If you identify or suspect any transgression raise your concern with Acteon's compliance helpline. Any concerns will remain confidential and be thoroughly investigated.

ACTEON COMPLIANCE HELPLINE: +44 20 7939 8540

- ▶ This Policy will be regularly reviewed to ensure ongoing suitability. The commitments listed are in addition to our basic obligation to comply with all applicable laws and regulations where we operate. This is critical to our business success because it allows us to systematically minimize all losses and adds value for all our stakeholders.

Dr Carl Trowell
Group Chief Executive Officer

July 2020